

Applicant or
Patentee:
Application or
Patent No.
Filed or Issued:
For:

Konrad BASLER, Erich BRUNNER, Barbara FROESCH,
Thomas KRAMPS, Oliver PETER

Attorney's
Docket No.: P-60361

60/221,502

July 28, 2000

**A NEW ESSENTIAL DOWNSTREAM COMPONENT OF THE WINGLESS
SINGALLING PATHWAY AND THERAPEUTIC AND DIAGNOSTIC
APPLICATIONS BASED THEREON**

**VERIFIED STATEMENT (DECLARATION) CLAIMING
SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27 (e)) –**

NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION UNIVERSITAET ZUERICH
ADDRESS OF
ORGANIZATION Rämistrasse 71, CH-8006 Zürich, SWITZERLAND

TYPE OF ORGANIZATION

University or other institution of higher education
 Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c) (3))
 Nonprofit scientific or educational under statute of state of The United States of America
(Name of State) _____
(Citation of statute) _____
 Would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c) (30)) if located in
The United States of America
 Would qualify as nonprofit scientific or educational under statute of state of The United States of America if located in
The United States of America
(Name of State) _____
(Citation of statute) _____

I hereby declare that the above nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled: **A NEW ESSENTIAL DOWNSTREAM COMPONENT OF THE WINGLESS SINGALLING PATHWAY AND THERAPEUTIC AND DIAGNOSTIC APPLICATIONS BASED THEREON** by inventors Konrad BASLER, Erich BRUNNER, Barbara FROESCH, Thomas KRAMPS and Oliver PETER

Described in the specification filed herewith
 application no. 60/221,502 filed July 28, 2000
 patent no. _____ issued _____

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which

would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statement are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME _____

ADDRESS _____

INDIVIDUAL

SMALL BUSINESS
CONCERN

NONPROFIT
ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING _____

Prof. Dr. A. Borbély

TITLE IN ORGANIZATION _____

Vice President of Research

ADDRESS OF PERSON SIGNING _____

University of Zürich, Künstlergasse 15, CH-8001 ZÜRICH

Signature _____

Date _____

Sept 28 2000

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31